

**FINANCIAL DISCLOSURE REPORT**

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**FILER INFORMATION**

**Name:** Seth Appleton  
**Status:** Staff  
**Employing Office:** MO03

**FILING INFORMATION**

**Filing Type:** Annual Report  
**Filing Year:** 2016  
**Filing Date:** 05/9/2017

**SCHEDULE A: ASSETS AND "UNEARNED" INCOME**

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
AT&T Inc. (T)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Bank of America Checking Account		\$15,001 - \$50,000	None		<input type="checkbox"/>
Bank of America Savings Account	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Bank of New York Mellon Corporation (BK)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Bennett Frelich GST Marital Trust ⇒ AT&T Inc. (T)		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Bennett Frelich GST Marital Trust ⇒ Ford Motor Company (F)		None	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Bennett Frelich GST Marital Trust ⇒ Olin Corporation (OLN)		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Bennett Frelich GST Marital Trust ⇒ Stifel Insured Bank Money Market		\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Bennett Frelich GST Marital Trust ⇒ US Bancorp Fidelity Money Market		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Bennett Frelich GST Marital Trust ⇒ Verizon Communications Inc. (VZ)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Bennett Frelich Trust FBO Alan Frelich ⇒ AT&T Inc. (T)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Bennett Frelich Trust FBO Alan Frelich ⇒ Comcast Corporation - Class A (CMCSA)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Bennett Frelich Trust FBO Alan Frelich ⇒ Stifel Insured Bank Money Market		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Bennett Frelich Trust FBO Susan Appleton ⇒ AT&T Inc. (T)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Bennett Frelich Trust FBO Susan Appleton ⇒ CenturyLink, Inc. (CTL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Bennett Frelich Trust FBO Susan Appleton ⇒ Comcast Corporation - Class A (CMCSA)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Bennett Frelich Trust FBO Susan Appleton ⇒ Stifel Insured Bank Money Market		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Caterpillar, Inc. (CAT)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
CBS Corporation Class B (CBS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Cisco Systems, Inc. (CSCO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Comcast Corporation - Class A (CMCSA)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Commerce Bancshares, Inc. (CBSH)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cornell Avenue Partners, LLC ⇒ Crowdedbuildings, LLC		\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Investment in operator of online marketplace (St. Louis, MO, USA)					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Express Scripts Holding Company (ESRX)		\$1,001 - \$15,000	None		<input type="checkbox"/>
Exxon Mobil Corporation (XOM)		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
General Electric Company (GE)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
International Business Machines Corporation (IBM)		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Johnson & Johnson (JNJ)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Merck & Company, Inc. Common Stock (MRK)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Microsoft Corporation (MSFT)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Monsanto Company (MON)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
National Corn Growers Association Retirement Savings Plan ⇒ Dodge & Cox Intl Stock	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
National Corn Growers Association Retirement Savings Plan ⇒ Harbor Capital Appreciation I	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
National Corn Growers Association Retirement Savings Plan ⇒ JPMorgan Mid Cap Value L	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
National Corn Growers Association Retirement Savings Plan ⇒ T.Rowe Price Mid-Cap Growth	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
National Corn Growers Association Retirement Savings Plan ⇒ Vanguard 500 Index Admiral	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
National Corn Growers Association Retirement Savings Plan ⇒ Vanguard Explorer Adm	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
National Corn Growers Association Retirement Savings Plan ⇒ Vanguard Mid Cap Idx Adm	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
National Corn Growers Association Retirement Savings Plan ⇒ Vanguard Windsor II Adm	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual Whole Life Insurance		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Oracle Corporation (ORCL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Pfizer, Inc. (PFE)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Phoenix Whole Life Insurance		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Procter & Gamble Company (PG)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Roth IRA ⇒ Altria Group, Inc. (MO)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Roth IRA ⇒ American Funds Growth Fund of America		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Roth IRA ⇒ Apple Inc. (AAPL)		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Roth IRA ⇒ AT&T Inc. (T)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Roth IRA ⇒ Coca-Cola Company (KO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Roth IRA ⇒ Monsanto Company (MON)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Roth IRA ⇒ TD Ameritrade Insured Deposit Account		\$1,001 - \$15,000	None		<input type="checkbox"/>
Roth IRA ⇒		\$1,001 - \$15,000	Dividends	\$201 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Verizon Communications Inc. (VZ)				\$1,000	
Royal Dutch Shell PLC Royal Dutch Shell American Depositary Shares (RDSA)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Sterling Bank Money Market Account		\$50,001 - \$100,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Verizon Communications Inc. (VZ)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Viacom Inc. - Class B (VIAB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Vodafone Group Plc - American Depositary Shares each representing ten Ordinary Shares (VOD)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Walt Disney Company (DIS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Wells Fargo Bank Money Market Account		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>

\* Asset class details available at the bottom of this form.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Bennett Frelich GST Marital Trust ⇒ Ford Motor Company (F)		01/20/2016	P	\$15,001 - \$50,000	
Bennett Frelich GST Marital Trust ⇒ Ford Motor Company (F)		02/18/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Bennett Frelich GST Marital Trust ⇒ Olin Corporation (OLN)		01/20/2016	P	\$15,001 - \$50,000	
Bennett Frelich GST Marital Trust ⇒ Olin Corporation (OLN)		01/29/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Caterpillar, Inc. (CAT)		01/14/2016	P	\$1,001 - \$15,000	
Cornell Avenue Partners, LLC ⇒ Crowdedbuildings, LLC		05/2/2016	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: Investment in operator of online marketplace (St. Louis, MO, USA)					
Johnson & Johnson (JNJ)		01/14/2016	P	\$1,001 - \$15,000	
Roth IRA ⇒ Apple Inc. (AAPL)		01/5/2016	P	\$1,001 - \$15,000	
Roth IRA ⇒ Apple Inc. (AAPL)		09/16/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Roth IRA ⇒ Black Hills Corporation (BKH)		01/5/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Roth IRA ⇒ Monsanto Company (MON)		09/16/2016	P	\$1,001 - \$15,000	
Roth IRA ⇒ Union Pacific Corporation (UNP)		08/26/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>

\* Asset class details available at the bottom of this form.

#### SCHEDULE C: EARNED INCOME

Source	Type	Amount
National Corn Growers Association	Spouse Salary	N/A

#### SCHEDULE D: LIABILITIES

None disclosed.

#### SCHEDULE E: POSITIONS

Position	Name of Organization
Co-Trustee	Jesse David Appleton Charitable Trust
Partner	Cornell Avenue Partners, LLC

#### SCHEDULE F: AGREEMENTS

None disclosed.

#### SCHEDULE G: GIFTS

None disclosed.

### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Source	Trip Details				Inclusions		
	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Congressional Institute	03/17/2016	03/19/2016	Washington, DC - Baltimore, MD - Washington, DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

### SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

### SCHEDULE A AND B ASSET CLASS DETAILS

- o Bennett Frelich GST Marital Trust
- o Bennett Frelich Trust FBO Alan Frelich
- o Bennett Frelich Trust FBO Susan Appleton
- o Cornell Avenue Partners, LLC  
LOCATION: US
- o National Corn Growers Association Retirement Savings Plan (Owner: SP)
- o Roth IRA

### EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Seth Appleton , 05/9/2017